

# Subset Feedback Vertex Sets in Chordal Graphs<sup>☆</sup>

Petr A. Golovach<sup>a</sup>, Pinar Heggernes<sup>a,\*</sup>, Dieter Kratsch<sup>b</sup>, Reza Saei<sup>a</sup>

<sup>a</sup>Department of Informatics, University of Bergen, Norway

<sup>b</sup>LITA, Université de Lorraine, Metz, France

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## Abstract

Given a graph  $G = (V, E)$  and a set  $S \subseteq V$ , a set  $U \subseteq V$  is a subset feedback vertex set of  $(G, S)$  if no cycle in  $G[V \setminus U]$  contains a vertex of  $S$ . The SUBSET FEEDBACK VERTEX SET problem takes as input  $G, S$ , and an integer  $k$ , and the question is whether  $(G, S)$  has a subset feedback vertex set of cardinality or weight at most  $k$ . Both the weighted and the unweighted versions of this problem are NP-complete on chordal graphs, even on their subclass split graphs. We give an algorithm with running time  $O(1.6708^n)$  that enumerates all minimal subset feedback vertex sets on chordal graphs on  $n$  vertices. As a consequence, SUBSET FEEDBACK VERTEX SET can be solved in time  $O(1.6708^n)$  on chordal graphs, both in the weighted and in the unweighted case. As a comparison, on arbitrary graphs the fastest known algorithm for these problems has  $O(1.8638^n)$  running time. We also obtain that a chordal graph  $G$  has at most  $1.6708^n$  minimal subset feedback vertex sets, regardless of  $S$ . This narrows the gap with respect to the best known lower bound of  $1.5848^n$  on this graph class. For arbitrary graphs, the gap is substantially wider, as the best known upper and lower bounds are  $1.8638^n$  and  $1.5927^n$ , respectively.

*Keywords:* chordal graph, subset feedback vertex set, maximum number of minimal subset feedback vertex sets, exact exponential-time algorithm

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## 1. Introduction

Given a graph  $G = (V, E)$  and a set  $S \subseteq V$ , a set  $U \subseteq V$  is a subset feedback vertex set of  $(G, S)$  if no cycle in  $G[V \setminus U]$  contains a vertex of  $S$ . A subset feedback vertex set  $U$  is minimal if no subset feedback vertex set of  $(G, S)$  is a proper subset of  $U$ . The SUBSET FEEDBACK VERTEX SET problem takes as input  $G, S$ , and an integer  $k$ , and the question is whether  $(G, S)$  has a subset feedback vertex set of cardinality at most  $k$ . In the weighted version of the problem, every vertex of  $G$  has a weight, and the question is whether there is a subset feedback vertex set of total weight at most  $k$ .

SUBSET FEEDBACK VERTEX SET was introduced by Even et al. [5], and it generalizes several well-studied problems. When  $S = V$ , it is equivalent to the classical FEEDBACK VERTEX SET problem [13], and when  $|S| = 1$ , it generalizes the MULTIWAY CUT problem [8]. Weighted SUBSET FEEDBACK VERTEX SET admits a polynomial-time constant-factor approximation algorithm [5]. The unweighted version of the problem is fixed parameter tractable [3]. The only exact algorithm known for its weighted version is by Fomin et al. [8]

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\*Corresponding author

*Email addresses:* petr.golovach@ii.uib.no (Petr A. Golovach), pinar.heggernes@ii.uib.no (Pinar Heggernes), kratsch@univ-metz.fr (Dieter Kratsch), reza.saeidinvar@ii.uib.no (Reza Saei)

and it runs in  $O(1.8638^n)$  time and solves the problem by enumerating all minimal subset feedback vertex sets.

Let us briefly compare SUBSET FEEDBACK VERTEX SET to its more widely known restriction FEEDBACK VERTEX SET. The unweighted version of FEEDBACK VERTEX SET can be solved in time  $O(1.7347^n)$  [10], whereas the best known algorithm for its weighted version runs in time  $O(1.8638^n)$  and enumerates all minimal feedback vertex sets [6]. FEEDBACK VERTEX SET has also been studied on many graph classes, like chordal graphs and AT-free graphs [1, 18], and several positive results exist. This is not yet the case for SUBSET FEEDBACK VERTEX SET, and no algorithm with a running time of  $O(c^n)$  such that  $c < 1.8637$  is known for any significant graph class. Interestingly, whereas both the weighted and the unweighted versions of FEEDBACK VERTEX SET are solvable in polynomial time on chordal graphs [1, 22], even the unweighted version of SUBSET FEEDBACK VERTEX SET is NP-complete on chordal graphs; in fact on their more restricted subclass split graphs, by a standard reduction from VERTEX COVER [8].

In this paper we give an algorithm with running time  $O(1.6708^n)$  that enumerates all minimal subset feedback vertex sets when the input graph is chordal. As a consequence, SUBSET FEEDBACK VERTEX SET can be solved in time  $O(1.6708^n)$  on chordal graphs, both in the weighted and in the unweighted case. Our algorithm differs completely from the  $O(1.8638^n)$  time algorithm of [8] for the general case, and it heavily uses the structure of chordal graphs. Chordal graphs form one of the most studied graph classes; they have extensive practical applications in Sparse Matrix Computations [12], Computational Biology and Phylogenetics [21], and several other fields [15], and they are crucial in characterizing and understanding fundamental algorithmic tools, like treewidth.

Enumeration algorithms are central in the field of Exact Exponential Algorithms, as the running times of many exact exponential time algorithms rely on the maximum number of various objects in graphs [9]. A classical example is the widely used result of Moon and Moser [19], showing that the maximum number of maximal cliques or maximal independent sets in an  $n$ -vertex graph is  $3^{n/3}$ . More recently, the maximum numbers and enumeration of objects like minimal dominating sets, minimal feedback vertex sets, minimal subset feedback vertex sets, minimal separators, and potential maximal cliques, have been studied; see e.g., [6, 7, 8, 10, 16, 17, 20]. The maximum number of such objects in graphs have traditionally found independent interest also in graph theory and combinatorics.

The results we present in this paper give an upper bound of  $1.6708^n$  on the maximum number of minimal subset feedback vertex sets a chordal graph can have. A tight bound on the maximum number of minimal feedback vertex sets on chordal graphs is known to be  $10^{n/5} \approx 1.5848^n$  [2], and this thus gives a lower bound on the maximum number of minimal subset feedback vertex sets on chordal graphs. Consequently, our results tighten the gap between the upper and lower bounds on the maximum number of subset feedback vertex sets on chordal graphs. The corresponding gap is much larger on general graphs. There, the maximum numbers of minimal feedback and subset feedback vertex sets are both  $1.8638^n$  [6, 8], but no examples of graphs having  $1.5927^n$  or more minimal feedback or subset feedback vertex sets are known [6]. Note that the maximum number of minimal subset feedback vertex sets can be dramatically different from the maximum number of minimal feedback vertex sets. Split graphs, which form a subclass of chordal graphs, have at most  $n^2$  minimal feedback vertex sets, whereas they can have  $3^{n/3} \approx 1.4422^n$  minimal subset feedback vertex sets [8]. These upper and lower bounds are summarized in Table 1.

In the next section we give the necessary background and notation. Our main results are presented in Section 3. We end the paper with a concluding section containing open questions.

	Max # subset feedback vertex sets		Max # feedback vertex sets	
Graph class	Upper bound	Lower bound	Upper bound	Lower bound
General	$1.8638^n$	$1.5927^n$	$1.8638^n$	$1.5927^n$
Chordal	$1.6708^n$	$10^{n/5} \approx 1.5848^n$	$10^{n/5}$	$10^{n/5}$
Split	$1.6708^n$	$3^{n/3} \approx 1.4422^n$	$n^2$	$n^2$

Table 1: Known upper and lower bounds on the maximum number of feedback vertex and subset feedback vertex sets a graph can have. The upper bounds for chordal and split graphs are results of this paper.

## 2. Background and notation

We work with simple undirected graphs. We denote such a graph by  $G = (V, E)$ , where  $V$  is the set of vertices and  $E$  is the set of edges of  $G$ . We adhere to the convention that  $n = |V|$ . The set of neighbors of a vertex  $v \in V$  is denoted by  $N_G(v)$ . The degree of  $v$ ,  $|N_G(v)|$ , is denoted by  $d_G(v)$ . The *closed neighborhood* of  $v$  is  $N_G[v] = N(v) \cup \{v\}$ . We will omit the subscripts when there is no ambiguity. For a vertex subset  $X \subseteq V$ , the subgraph of  $G$  induced by  $X$  is denoted by  $G[X]$ . For ease of notation, we use  $G - v$  to denote the graph  $G[V \setminus \{v\}]$ , and  $G - X$  to denote the graph  $G[V \setminus X]$ .

A *path* in  $G$  is a sequence of distinct vertices such that the next vertex in the sequence is adjacent to the previous vertex. A *cycle* is a path with at least three vertices such that the last vertex is in addition adjacent to the first. Given a subset  $S \subseteq V$ , we call a cycle an *S-cycle* if it contains a vertex of  $S$ . For a cycle or an *S-cycle*  $C$ , we use  $V(C)$  to denote the set of vertices in  $C$ . A subset  $F \subseteq V$  will be called a *forest* if  $G[F]$  contains no cycle. Similarly,  $F$  is an *S-forest* if no cycle in  $G[F]$  contains a vertex of  $S$ . A graph is *connected* if there is a path between every pair of its vertices. A maximal connected subgraph of  $G$  is called a *connected component* of  $G$ . A set  $X \subseteq V$  is a *clique* if  $uv \in E$  for every pair of vertices  $u, v \in X$ ; and  $X$  is an *independent set* if  $uv \notin E$  for every pair of vertices  $u, v \in X$ .

A *chord* of a cycle is an edge between two non consecutive vertices of the cycle. A graph is *chordal* if every cycle of length at least 4 contains a chord. It is easy to see that induced subgraphs of chordal graphs are also chordal [15]. Chordal graphs form a very well studied graph class, and they have many interesting characterizations. For our purposes a characterization via simplicial vertices will be sufficient. A vertex  $v$  is called *simplicial* if  $N(v)$  is a clique. Every chordal has at least one simplicial vertex [4]. From this it follows that, in a chordal graph, one can repeatedly find a simplicial vertex in the remaining graph and remove it, until the graph becomes empty. The ordering in which the vertices of the starting graph have been removed in this way, is called a *perfect elimination ordering*. Interestingly, chordal graphs are exactly the graphs on which one can perform such an elimination procedure of simplicial vertices.

**Theorem 1 ([11]).** *A graph is chordal if and only if it has a perfect elimination ordering.*

A graph is a *split graph* if its vertex set can be partitioned into a clique and an independent set. Split graphs form a subclass of chordal graphs, as they contain no chordless cycles of length 4 or more.

Given a set  $S \subseteq V$ , a set  $U \subseteq V$  is a *subset feedback vertex set* of  $(G, S)$  if no cycle in  $G - U$  contains a vertex of  $S$ . Observe that  $U$  is a subset feedback vertex set of  $(G, S)$  if and only if  $V \setminus U$  is an *S-forest*. If  $S = V$  then  $U$  is a *feedback vertex set* of  $G$ , and  $V \setminus U$  is a forest. A subset feedback vertex set  $U$  is *minimal* if no proper subset of  $U$  is a subset feedback vertex set of  $(G, S)$ , and an *S-forest* is *maximal* if it cannot be extended to a larger *S-forest* by including more vertices of  $G$ . Clearly,  $U$  is a minimal subset feedback vertex set of  $(G, S)$  if and only if  $V \setminus U$  is a maximal *S-forest* of  $G$ . Consequently, the number of minimal subset feedback vertex set of  $(G, S)$  is equal to the number of maximal *S-forests* of  $G$ .

Let  $\mu(G, S)$  denote the number of minimal subset feedback vertex sets of  $(G, S)$ , equivalently the number of maximal  $S$ -forests of  $G$ . Observe that  $\mu(G, S) = \prod_{i=1}^t \mu(G_i, S)$ , where  $G_1, G_2, \dots, G_t$  are the connected components of  $G$ . This is because every maximal  $S$ -forest of  $G$  is the union of maximal  $S$ -forests of the connected components of  $G$ .

Let  $\mu(G) = \max\{\mu(G, S) \mid S \subseteq V\}$  denote the maximum number of minimal subset feedback vertex sets graph  $G$  can have, regardless of  $S$ . Note that  $\mu(G)$  is lower bounded by the number of minimal feedback vertex set of  $G$ . Let  $H$  be the complete graph on 5 vertices. This graph has 10 minimal feedback vertex sets [2]. Let  $H_\ell$  be the graph obtained by taking  $\ell$  disjoint copies of  $H$ , for  $\ell \geq 1$ . The number of minimal feedback vertex sets of  $H_\ell$  is thus  $10^\ell = 10^{n/5} \approx 1.5848^n$ . Any graph  $H_\ell$  is chordal and hence  $10^{n/5}$  is a lower bound on the number of minimal subset feedback vertex set of chordal graphs, i.e., there is a chordal graph  $G = (V, E)$  and a set  $S \subseteq V$  such that  $(G, S)$  has  $10^{n/5}$  minimal subset feedback vertex set. When it comes to the maximum number of minimal feedback vertex sets in chordal graphs, Couturier et al. showed that the above lower bound is also the upper bound [2]. An upper bound on the number of minimal subset feedback vertex sets of chordal graphs better than the one for general graphs has not been known until the result we present below.

### 3. Enumerating minimal subset feedback vertex sets in chordal graphs

In this section, we describe an algorithm that takes as input a chordal graph  $G = (V, E)$  and a vertex subset  $S \subseteq V$ , and lists all maximal  $S$ -forests of  $G$ . Our algorithm is a recursive branching algorithm; every maximal  $S$ -forest of  $G$  will be present at some leaf of the corresponding branching tree, whereas some of the leaves might not correspond to maximal  $S$ -forests. Every recursive call has input  $(G', F, U, R)$ , where  $F$  is the set of vertices of  $G$  placed so far in an  $S$ -forest of  $G$ ,  $U$  is the set of vertices so far deleted from  $G$  and hence placed in the corresponding subset feedback vertex set,  $R \subseteq F$  is the set of vertices that are placed in  $F$  and that are no longer relevant for making further decisions, and  $G' = G - (U \cup R)$ . We call the vertices in  $R$  *hidden*. The vertices in  $V \setminus (U \cup F)$  are called *undecided* vertices. As  $G$  and  $S$  do not change throughout the algorithm, they are not parts of the input to the recursive calls. To summarize,  $(G', F, U, R)$  is simply an instance of the problem of listing all maximal  $S$ -forests of  $G$  containing  $F$  and not containing  $U$ , and our described algorithm solves exactly this problem. Given  $G$  and  $S$ , the main program runs this recursive algorithm on  $(G, \emptyset, \emptyset, \emptyset)$ .

If at some call  $(G', F, U, R)$ , the graph  $G'$  has no undecided vertices, then we are at a leaf of the branching tree, and the algorithm stops after checking whether  $F$  is a maximal  $S$ -forest of  $G$ . If  $F$  is a maximal  $S$ -forest, it is added to the list of  $S$ -forests that will be output. If  $G'$  has undecided vertices, the algorithm continues, but first it checks whether  $F$  is an  $S$ -forest. If not, then the algorithm stops, discards  $F$  since it can never lead to a maximal  $S$ -forest, and no new subproblems are generated from this instance. If the algorithm continues, then since  $G'$  is chordal, we know that  $G'$  has a simplicial vertex. The algorithm chooses an arbitrary simplicial vertex  $v$  of  $G'$  and makes choices depending on  $v$ . Vertex  $v$  might already be placed in  $F$  or not; these two cases will be handled separately by the algorithm as Case 1 and Case 2 below. The following operations will be used in our algorithm:

- *Deleting* a vertex  $x$ : deletes  $x$  from  $G'$  and adds it to  $U$ . Vertex  $x$  will be permanently deleted from  $G'$  and it will be a part of the suggested subset feedback vertex set  $U$  in all subsequent subproblems.
- *Adding* a vertex  $x$  to  $F$ : adds  $x$  to  $F$ . Vertex  $x$  will be a part of  $F$  in all subsequent subproblems, and will never be considered for deletion.

- *Hiding* a vertex  $x$  of  $F$ : this operation is only applicable on some simplicial vertices of  $G'$  that are already placed in  $F$ . We apply it when  $x$  is no longer relevant for making further decisions on the remaining vertices of  $G' - F$ . When  $x$  is hidden, it is added to  $R$  and removed from  $G'$  but it remains a part of  $F$  in all subsequent subproblems, and in particular it remains in  $G - U$ .

Throughout the algorithm we will keep the following invariant.

**Invariant 1.** *Let  $(G', F, U, R)$  be an instance. For any  $S$ -cycle  $C$  in  $G - U$  that contains a vertex of  $R$ , there is an  $S$ -cycle  $C'$  in  $G'$  such  $V(C') = V(C) \setminus R$ .*

Invariant 1 is clearly true when  $R$  is empty. Whenever we hide a vertex  $v$ , we will argue that the invariant is still true after  $v$  is hidden. The next lemma shows that we can safely ignore the vertices in  $R$  when we make further decisions on  $G - U$ , and hence it is safe to work on  $G' = G - (U \cup R)$  instead of  $G - U$ .

**Lemma 1.** *Let  $(G', F, U, R)$  be an instance. Under Invariant 1,  $F'$  is a maximal  $S$ -forest of  $G - U$  such that  $F \subseteq F'$  if and only if  $F' \setminus R$  is a maximal  $S$ -forest of  $G'$ .*

**PROOF.** Let  $F'$  be a maximal  $S$ -forest of  $G - U$  such that  $F \subseteq F'$ . Then clearly  $F' \setminus R$  is an  $S$ -forest in  $G'$ . Let us argue for maximality. Since  $F'$  is maximal, for any vertex  $x$  of  $G - (U \cup F')$ ,  $x$  is involved in an  $S$ -cycle  $C$  in  $G - U$  such that  $V(C) \subseteq F' \cup \{x\}$ . Observe that since  $R \subseteq F \subseteq F'$ , any such vertex  $x$  is also a vertex in  $G'$ . By Invariant 1,  $x$  is involved in an  $S$ -cycle  $C'$  in  $G'$  such that  $V(C') = V(C) \setminus R$ . Since  $G' = G - (U \cup R)$ , it follows that  $V(C') \subseteq (F' \setminus R) \cup \{x\}$ . Hence  $x$  cannot be added to  $F' \setminus R$ , which is thus a maximal  $S$ -forest of  $G'$ .

For the other direction, assume that  $F' \setminus R$  is a maximal  $S$ -forest of  $G'$ . Hence every vertex  $x$  in  $G'$  outside of  $F' \setminus R$  is involved in an  $S$ -cycle  $C$  in  $G'$  such that  $V(C) \subseteq (F' \setminus R) \cup \{x\}$ . Since  $G - U$  is a supergraph of  $G'$ ,  $C$  is also an  $S$ -cycle in  $G - U$ . Hence no more vertices can be added to  $F'$ , which is thus maximal. Let us argue that  $F'$  is an  $S$ -forest. Assume for contradiction that it is not. Then a vertex  $y$  of  $R$  is involved in an  $S$ -cycle  $C$  in  $G - U$  such that  $V(C) \subseteq F'$ . Then by Invariant 1, there is an  $S$ -cycle  $C'$  in  $G'$  such that  $V(C') \subseteq F' \setminus R$ , which contradicts the assumption that  $F' \setminus R$  is an  $S$ -forest of  $G'$ .  $\square$

The *measure* of an instance  $(G', F, U, R)$  is the number of undecided vertices, i.e., the vertices in  $G' - F$ . In the beginning of the algorithm all vertices are undecided and hence the measure of  $(G, \emptyset, \emptyset, \emptyset)$  is  $n$ . The measure drops by the number of vertices deleted from  $G'$  plus the number of vertices added to  $F$ . Hiding a vertex does not affect the measure of an instance. In the call with input  $(G', F, U, R)$ , the algorithm will further branch into subproblems in which some vertices will be deleted from  $G'$  and some vertices will be placed in  $F$ , and the measure will drop accordingly. If at a step, we branch into  $t$  new subproblems, where the measure decreases by  $c_1, c_2, \dots, c_t$  in each subproblem, respectively, we get the *branching vector*  $(c_1, c_2, \dots, c_t)$ . At each branching point, we will give the corresponding branching vector to be of help in the running time analysis.

We now describe the reduction and the branching rules of the algorithm when  $G'$  has undecided vertices and  $F$  is an  $S$ -forest. Let  $(G', F, U, R)$  be a call of the algorithm satisfying this. In the below, we let  $N(v) = N_{G'}(v)$ ,  $N[v] = N_{G'}[v]$ , and  $d(v) = d_{G'}(v)$ . First, we state three reduction rules. These rules are applied recursively on the considered instance as long as it is possible to apply at least one of them.

It is easy to see that the first reduction rule, Rule A, is safe since  $\{u, v, w\}$  form an  $S$ -cycle, and  $u, w$  are already placed in  $F$ :

**Rule A.** *If in  $G'$  an undecided vertex  $v$  is adjacent to vertices  $u, w \in F$  such that  $uw \in E$  and  $\{u, v, w\} \cap S \neq \emptyset$ , then delete  $v$ , i.e., reduce to the subproblem  $(G' - v, F, U \cup \{v\}, R)$ .*

The following observation immediately results in the next reduction rule: Rule B.

**Observation 1.** *Let  $v$  be a vertex of  $G'$  such that no  $S$ -cycle of  $G'$  contains  $v$ . Then  $v$  must be added to  $F$  if it is not in  $F$ , and it is then safe to hide  $v$ .*

**PROOF.** If vertex  $v$  is not involved in an  $S$ -cycle, then it cannot get involved in an  $S$ -cycle at later steps when more and more vertices are deleted from  $G'$  and added to  $U$ . Hence it is safe to add it to  $F$ , and due to maximality it must be added to  $F$  if it is not already in  $F$ . Assume now that  $v \in F$ . Recall that for any  $S$ -cycle  $C$  in  $G - U$  that contains a vertex of  $R$ , there is an  $S$ -cycle  $C'$  in  $G'$  such  $V(C') = V(C) \setminus R$ . Because no  $S$ -cycle in  $G'$  contains  $v$ ,  $C'$  is an  $S$ -cycle in  $G' - v$ . Hence, it is safe to hide  $v$  and add it to  $R$ .  $\square$

**Rule B.** *If  $G'$  has a vertex  $v$  with  $d(v) \leq 1$ , then add  $v$  to  $F$  if  $v$  is undecided, and when  $v \in F$  then hide  $v$ , i.e., reduce to the subproblem  $(G' - v, F \cup \{v\}, U, R \cup \{v\})$ .*

Since  $G'$  is not empty and it is chordal, it has a simplicial vertex. With the following observation we obtain the next reduction rule: Rule C.

**Observation 2.** *Let  $v$  be a simplicial vertex of  $G'$ . If  $N[v] \cap S = \emptyset$ , then  $v$  must be added to  $F$  if it is not already in  $F$ , and it is then safe to hide  $v$ .*

**PROOF.** Recall that by Rule B, we can assume that  $d(v) \geq 2$ . First we prove that if  $v \notin F$ , then it must be added to  $F$ . Let  $F'$  be any maximal  $S$ -forest of  $G'$  such that  $F \subseteq F'$ . If  $F'$  contains at most one vertex of  $N(v)$ , then  $F'$  must contain  $v$  by Observation 1. If  $F'$  contains two or more vertices from  $N(v)$ , then since none of these belong to  $S$ -cycles in  $G'[F']$ , they are all pairwise adjacent, and  $v \notin S$ ,  $v$  cannot belong to an  $S$ -cycle in  $G'[F' \cup \{v\}]$ . Since  $v$  has no other neighbors in  $G'$  and  $F'$  is maximal,  $F'$  must thus contain  $v$ .

We now prove that it is safe to hide  $v$  when  $v \in F$ . Let  $C = v, x_1, \dots, x_k, v$  be an  $S$ -cycle in  $G'$ . Since  $x_1, x_k \in N(v)$ , they do not belong to  $S$ . Since  $v$  does not belong to  $S$  either, a vertex from  $\{x_2, \dots, x_{k-1}\}$  belongs to  $S$ . Since  $v$  is simplicial,  $x_1$  and  $x_k$  are adjacent. Consequently,  $x_1, \dots, x_k, x_1$  is an  $S$ -cycle in  $G' - v$ . It follows immediately that if  $v \in F$ , then we can hide it and add it to  $R$ .  $\square$

**Rule C.** *If there is a simplicial vertex  $v$  such that  $N[v] \cap S = \emptyset$ , then add  $v$  to  $F$  if  $v$  is undecided, and when  $v \in F$  then hide  $v$ , i.e., reduce to the subproblem  $(G' - v, F \cup \{v\}, U, R \cup \{v\})$ .*

If we cannot apply any of the Rules A, B, or C, then we apply one of the branching rules below. In particular, we pick a simplicial vertex  $v$ , hence  $N(v)$  is a clique. Vertex  $v$  is either undecided or it belongs to  $F$ . If  $v$  is undecided then we proceed as described in Case 1 below. If  $v \in F$  then we proceed as described in Case 2 below. Notice that by Rule B,  $d(v) \geq 2$  in both cases.

### Case 1. The chosen simplicial vertex $v$ is undecided

In this case, we know that  $v \notin F$ . However,  $v$  might be in  $S$  or not, and  $v$  might have neighbor in  $F$  or not. We have four cases corresponding to these possibilities, and no other case is possible.

**Case 1.1.**  $v \notin F$ ,  $v \in S$ , and  $N(v) \cap F = \emptyset$ .

If  $d(v) = 2$  then let  $u_1$  and  $u_2$  be the two neighbors of  $v$ . Since  $v \in S$ , at most two vertices from  $\{v, u_1, u_2\}$  can be added to  $F$ . Note however that, if exactly one of  $u_1, u_2$  is added to  $F$  and the other one is deleted, then  $v$  must also be added to  $F$  by Observation 1. This implies that if  $v$  is deleted then both  $u_1$  and  $u_2$  must be added to  $F$ . Consequently, we branch into the following subproblems, which cover all possibilities, and we obtain  $(3, 3, 3, 3)$  as the branching vector:

- Vertex  $v$  is deleted from  $G'$  and added to  $U$ ; vertices  $u_1$  and  $u_2$  are added to  $F$ : the decrease in the measure is 3.
- Vertex  $u_1$  is deleted from  $G'$  and added to  $U$ ; vertices  $v$  and  $u_2$  are added to  $F$ : the decrease is 3.
- Vertex  $u_2$  is deleted from  $G'$  and added to  $U$ ; vertices  $v$  and  $u_1$  are added to  $F$ : the decrease is 3.
- Vertices  $u_1$  and  $u_2$  are deleted from  $G'$  and added to  $U$ ; vertex  $v$  is added to  $F$ : the decrease is 3.

If  $d(v) = 3$  then let  $u_1, u_2, u_3$  be the three neighbors of  $v$ . Again, at most two vertices from  $\{v, u_1, u_2, u_3\}$  can be added to  $F$ . As above, we will branch on the possibilities of adding  $v$  and at most one of its neighbors into  $F$  and deleting the other neighbors, or deleting  $v$ . For the choice of deleting  $v$ , we observe the following: either  $u_1$  is added to  $F$  or  $u_1$  is also deleted. If both  $v$  and  $u_1$  are deleted, then both  $u_2$  and  $u_3$  must be added to  $F$ , by Observation 1. Consequently, we branch into the following subproblems, which cover all possibilities, and we obtain  $(4, 4, 4, 4, 2, 4)$  as the branching vector:

- Vertices  $u_2$  and  $u_3$  are deleted from  $G'$  and added to  $U$ ; vertices  $v$  and  $u_1$  are added to  $F$ : the decrease is 4.
- Vertices  $u_1$  and  $u_3$  are deleted from  $G'$  and added to  $U$ ; vertices  $v$  and  $u_2$  are added to  $F$ : the decrease is 4.
- Vertices  $u_1$  and  $u_2$  are deleted from  $G'$  and added to  $U$ ; vertices  $v$  and  $u_3$  are added to  $F$ : the decrease is 4.
- Vertices  $u_1, u_2,$  and  $u_3$  are deleted from  $G'$  and added to  $U$ ; vertex  $v$  is added to  $F$ : the decrease is 4.
- Vertex  $v$  is deleted from  $G'$  and added to  $U$ ; vertex  $u_1$  is added to  $F$ : the decrease is 2.
- Vertices  $v$  and  $u_1$  are deleted from  $G'$  and added to  $U$ ; vertices  $u_2$  and  $u_3$  are added to  $F$ : the decrease is 4.

In the rest we assume that  $t = d(v) \geq 4$ . By the same arguments as above, either  $v$  is deleted or it is added to  $F$  with at most one of its neighbors. Consequently, we branch into the following subproblems, where  $u_1, u_2, \dots, u_t$  are the neighbors of  $v$  in  $G'$ :

- Vertex  $v$  is deleted from  $G'$  and added to  $U$ ; nothing else changes: the decrease in the measure is 1.
- Vertex  $v$  is added to  $F$ ; all of its neighbors are deleted from  $G'$  and added to  $U$ : the decrease in the measure is  $t + 1$ .
- Vertices  $v$  and  $u_1$  are added to  $F$ ; all other neighbors of  $v$  are deleted from  $G'$  and added to  $U$ : the decrease is  $t + 1$ .
- The last step above is repeated with each of the other neighbors of  $v$  instead of  $u_1$ : the decrease is  $t + 1$  in each of these  $t - 1$  additional cases.

The branching vector is  $(1, t + 1, t + 1, \dots, t + 1)$ , where the term  $t + 1$  appears  $t + 1$  times, and  $t \geq 4$ .

**Case 1.2.**  $v \notin F$ ,  $v \in S$ , and  $N(v) \cap F \neq \emptyset$ .

As we cannot apply Rule A for the considered instance,  $|N(v) \cap F| = 1$ . Since  $t = d(v) \geq 2$ , we know that  $v$  has exactly one neighbor in  $F$ , say  $u_1 \in F$ , whereas the rest of its neighbors  $u_2, \dots, u_t$  are undecided. We branch into the two possibilities of adding  $v$  to  $F$  or deleting  $v$ . If we add  $v$  to  $F$ , since one neighbor is already in  $F$  then none of the  $t - 1$  undecided neighbors can be added, and therefore we delete them from  $G'$  and add them to  $U$ . We get the following two subproblems:  $(G' - v, U \cup \{v\}, F, R)$  and  $(G' - \{u_2, \dots, u_t\}, U \cup \{u_2, \dots, u_t\}, F \cup \{v\}, R)$ . In the first subproblem the measure decreases by 1, and in the second it decreases by  $t$ . We get the branching vector  $(1, t)$  with  $t \geq 2$ .

**Case 1.3.**  $v \notin F$ ,  $v \notin S$ , and  $N(v) \cap F = \emptyset$ .

Since we cannot apply Rule C,  $v$  has at least one neighbor belonging to  $S$ .

If  $d(v) = 2$ , let  $u_1$  and  $u_2$  be the neighbors of  $v$ . Since  $u_1$  or  $u_2$  belongs to  $S$ , we know that at most two vertices from  $\{v, u_1, u_2\}$  can be added to  $F$ . Consequently, this case is identical to the subcase of Case 3.1.1 handling  $d(v) = 2$ . We branch into the same subproblems and we obtain  $(3, 3, 3, 3)$  as the branching vector.

If  $d(v) = 3$ , let  $u_1, u_2, u_3$  be the neighbors of  $v$ . Assume without loss of generality that  $u_1 \in S$ . This case is very similar to the subcase of Case 1 handling  $d(v) = 3$ , but now we branch on  $u_1$  instead of  $v$ . If  $u_1$  is added to  $F$  then at most one of  $v, u_2, u_3$  can be added to  $F$ . If  $u_1$  is deleted then either  $v$  is added to  $F$  or  $v$  is also deleted. If  $v$  is also deleted then both  $u_2$  and  $u_3$  must be added to  $F$ , by Observation 1. Consequently, we branch into the following subproblems, which cover all possibilities, and we obtain  $(4, 4, 4, 4, 2, 4)$  as the branching vector:

- Vertices  $u_2$  and  $u_3$  are deleted from  $G'$  and added to  $U$ ; vertices  $u_1$  and  $v$  are added to  $F$ : the decrease is 4.
- Vertices  $v$  and  $u_3$  are deleted from  $G'$  and added to  $U$ ; vertices  $u_1$  and  $u_2$  are added to  $F$ : the decrease is 4.
- Vertices  $v$  and  $u_2$  are deleted from  $G'$  and added to  $U$ ; vertices  $u_1$  and  $u_3$  are added to  $F$ : the decrease is 4.
- Vertices  $v, u_2$ , and  $u_3$  are deleted from  $G'$  and added to  $U$ ; vertex  $u_1$  is added to  $F$ : the decrease is 4.
- Vertex  $u_1$  is deleted from  $G'$  and added to  $U$ ; vertex  $v$  is added to  $F$ : the decrease is 2.
- Vertices  $u_1$  and  $v$  are deleted from  $G'$  and added to  $U$ ; vertices  $u_2$  and  $u_3$  are added to  $F$ : the decrease is 4.

If  $t = d(v) \geq 4$ , then let  $u_1, u_2, \dots, u_t$  be the neighbors of  $v$  in  $G'$ , and assume without loss of generality that  $u_1 \in S$ . We will branch on the two possibilities of adding  $u_1$  to  $F$  and deleting  $u_1$ . If we add  $u_1$  to  $F$  then we can add at most one other vertex of  $N[v]$  to  $F$  and all others must be deleted. Consequently, we branch into the following subproblems:

- Vertex  $u_1$  is deleted from  $G'$  and added to  $U$ ; nothing else changes: the decrease in the measure is 1.
- Vertex  $u_1$  is added to  $F$ ; vertices  $v, u_2, \dots, u_t$  are deleted from  $G'$  and added to  $U$ : the decrease in the measure is  $t + 1$ .
- Vertices  $u_1$  and  $v$  are added to  $F$ ; all other neighbors of  $v$  are deleted from  $G'$  and added to  $U$ : the decrease is  $t + 1$ .

- Vertices  $u_1$  and  $u_2$  are added to  $F$ ;  $v$  and all other neighbors of  $v$  are deleted from  $G'$  and added to  $U$ : the decrease is  $t + 1$ .
- The last step above is repeated with each of the neighbors  $u_3, \dots, u_t$  of  $v$  instead of  $u_2$ : the decrease is  $t + 1$  in each of these  $t - 2$  additional cases.

The branching vector is  $(1, t + 1, t + 1, \dots, t + 1)$ , where the term  $t + 1$  appears  $t + 1$  times, with  $t \geq 4$ .

**Case 1.4.**  $v \notin F$ ,  $v \notin S$ , and  $N(v) \cap F \neq \emptyset$ .

As we cannot apply Rule C,  $N(v) \cap S \neq \emptyset$ . Suppose that  $|N(v) \cap F| \geq 2$ . If there is a vertex  $u \in (N(v) \setminus F) \cap S$ , then Rule A can be applied for  $u$ . Consequently, there is a vertex  $u \in N(v) \cap F \cap S$ , but then Rule A can be applied for  $v$ . It means that  $v$  has exactly one neighbor  $u$  in  $F$ . We take action depending on whether or not  $u$  belongs to  $S$ :

If  $u \in S$ , then at most one more vertex from  $N[v]$  can be added to  $F$ , and all others must be deleted from  $G'$  and added to  $U$ . We get  $t = d(v)$  subproblems in each of which a vertex of  $N[v] \setminus \{u\}$  is added to  $F$  and all others are deleted from  $G'$  and added to  $U$ . Observe that we do not get a subproblem where all vertices of  $N[v] \setminus \{u\}$  are deleted from  $G'$ , due to Observation 1. Thus we get  $(t, \dots, t)$  as the branching vector, where the term  $t$  is repeated  $t$  times, and  $t \geq 2$ .

If  $u \notin S$ , then we know that  $v$  has another neighbor  $w \in S$ . We branch into two subproblems resulting from adding  $w$  to  $F$  or deleting  $w$  from  $G'$ . If we add  $w$  to  $F$ , then since  $u$  is also in  $F$ , no other vertex from  $N[v]$  can be added to  $F$  and hence they must all be deleted from  $G'$  and added to  $U$ . We get a subproblem in which the measure decreases by  $t = d(v)$ . In the other subproblem we simply delete  $w$  from  $G'$  and add it to  $U$ ; the decrease is 1. Hence we get  $(1, t)$  as the branching vector for this case, where  $t \geq 2$ .

**Case 2. The chosen simplicial vertex  $v$  belongs to  $F$**

In addition to belonging to  $F$ ,  $v$  might either belong to  $S$  or not. Our algorithm takes action depending on this.

**Case 2.1.**  $v \in F$  and  $v \in S$ .

Because  $G[F]$  has no  $S$ -cycles,  $|N(v) \cap F| \leq 1$ . If  $N(v) \cap F \neq \emptyset$ , then Rule A can be applied for the vertices  $N(v) \setminus F$ . It follows that  $N(v) \cap F = \emptyset$ . Since  $v \in S$  and  $v \in F$ , at most one vertex of  $N(v)$  can be added to  $F$ , regardless of how many of these are in  $S$ .

If  $d(v) = 2$  then let  $u$  and  $w$  be the two neighbors of  $v$ . We branch on the two possibilities of either adding  $u$  to the  $S$ -forest  $F$  or adding  $u$  to the subset feedback vertex set  $U$ . In the latter subproblem we delete  $u$  from  $G'$  and add it to  $U$ ; the decrease is 1. In the first subproblem, we add  $u$  to  $F$ , and consequently we must delete  $w$  from  $G'$  and add it to  $U$ ; the decrease is 2. We get  $(1, 2)$  as the branching vector.

If  $t = d(v) \geq 3$  then we branch into the possibilities of adding exactly one vertex of  $N(v)$  to  $F$  and deleting all others from  $G'$ , or deleting all vertices of  $N(v)$  from  $G'$ . We get  $t$  subproblems in which one vertex is added to  $F$  and all other vertices of  $N(v)$  are deleted from  $G'$  and added to  $U$ , and one subproblem in which all vertices of  $N(v)$  are deleted from  $G'$  and added to  $U$ . In each of these  $t + 1$  subproblems the decrease is  $t$ . Hence we get  $(t, t, t, \dots, t)$  as the branching vector, where the term  $t$  is repeated  $t + 1$  times, and  $t \geq 3$ .

**Case 2.2.**  $v \in F$  and  $v \notin S$ .

Suppose that  $N(v) \cap F \neq \emptyset$ . If a neighbor  $u$  of  $v$  is both in  $F$  and in  $S$ , then all other neighbors of  $v$  are undecided, since  $G[F]$  has no  $S$ -cycles. Then we can apply Rule A for these neighbors of  $v$ . If there is  $u \in (N(v) \cap F) \setminus S$ , then Rule A can be applied for all  $w \in N(v) \cap S$ . It means that  $N(v) \cap S = \emptyset$ , but in this case we can apply Rule C. Therefore,  $N(v) \cap F = \emptyset$ . Because we cannot apply Rule C,  $v$  has at least one neighbor that is undecided and belongs to  $S$ .

Recall that  $t = d(v) \geq 2$ , and let  $u_1, u_2, \dots, u_t$  be the neighbors of  $v$ , and assume without loss of generality that  $u_1 \in S$ . We branch into the two possibilities of either deleting  $u_1$  from  $G'$  and adding it to  $U$ , or adding  $u_1$  to  $F$ . In the latter case, no other neighbor of  $N(v)$  can be added to  $F$ , since they all form  $S$ -cycles with  $v$  and  $u_1$ , and hence they must all be deleted from  $G'$  and added to  $U$ . We get one subproblem where the decrease is 1, and one subproblem where the decrease is  $t$ . This gives us the branching vector  $(1, t)$  with  $t \geq 2$ .

The description of the algorithm is now complete, and we are ready to conclude our main result.

**Theorem 2.** *All minimal subset feedback vertex sets of a chordal graph on  $n$  vertices can be listed in  $O(1.6708^n)$  time.*

**PROOF.** We claim that the algorithm described before the statement of the theorem is exactly an algorithm achieving the statement of the theorem. The correctness of the described algorithm follows from Invariant 1, Lemma 1, Observations 1, 2, and the arguments given for each case, observing that we have taken care of all possible cases. It remains to analyse the running time.

Let  $G$  be a chordal graph on  $n$  vertices and let  $S$  be any set of vertices in  $G$ , that form the input to the main program that calls our recursive algorithm. In each of the branching rules, the measure decreases as described, and in each of the reduction rules, either the measure decreases or at least one vertex of  $F$  is deleted from  $G'$ . When all vertices of  $G'$  are either in  $U$  or in  $F$ , then the recurrence stops. At this point we need to check whether  $F$  is a maximal  $S$ -forest of  $G$ . This can easily be done in polynomial time;  $F$  is an  $S$ -forest if and only if every vertex of  $S \cap F$  is incident in  $G$  to edges that are bridges. Maximality is also easy to check since if a subset  $X$  of  $V \setminus F$  can be added to  $F$  to obtain a larger  $S$ -forest, then also a single vertex of  $X$  can be added, so we can repeatedly check possible extensions by single vertices. Consequently, the running time will be upper bounded by the number of leaves in the search tree.

For the analysis of the running time  $T(n)$ , we use terminology that is standard for recursive branching algorithms [9]. In particular, a branching vector  $(c_1, c_2, \dots, c_t)$  results in the recurrence  $T(n) \leq T(n - c_1) + T(n - c_2) + \dots + T(n - c_t)$ . In this case  $T(n) = O^*(\alpha^n)$ , where  $\alpha$  is the unique positive real root of  $x^n - x^{n-c_1} - \dots - x^{n-c_t} = 0$  [9], and the  $O^*$ -notation suppresses polynomial factors. The number  $\alpha$  is called the *branching number* of this branching vector. It is common to round  $\alpha$  to the fourth digit after the decimal point. By rounding the last digit up, we can use  $O$ -notation instead of  $O^*$ -notation [9]. As different branching vectors are involved at different steps of our algorithm, the branching vector with the highest branching number gives an upper bound on  $T(n)$ .

We now list the branching vectors that have appeared during the description of the algorithm, in the order of first appearance. We give the branching number for each of them; however we do not include here the explicit calculations.

- (3, 3, 3, 3): the branching number is  $\approx 1.5875$ .
- (4, 4, 4, 4, 2, 4): the branching number is  $\approx 1.6708$ .

- $(1, t, t, t, t, \dots, t)$ , where the term  $t$  appears  $t$  times, and  $t \geq 5$ :  $(1, 5, 5, 5, 5, 5)$  gives the maximum branching number for this vector, which is  $\approx 1.6595$ .
- $(1, t), t \geq 2$ :  $(1, 2)$  gives the maximum branching number for this branching vector, which is  $\approx 1.6181$ .
- $(t, \dots, t)$ , where the term  $t$  is repeated  $t$  times, and  $t \geq 2$ :  $(3, 3, 3)$  gives the maximum branching number for this vector, which is  $\approx 1.4423$ .
- $(t, t, \dots, t)$ , where the term  $t$  is repeated  $t+1$  times, and  $t \geq 3$ :  $(3, 3, 3, 3)$  gives the maximum branching number for this vector, which is  $\approx 1.5875$ .
- $(1, 2)$ : the branching number is  $\approx 1.6181$ .

The largest branching number is 1.6708, and it is obtained for  $(4, 4, 4, 4, 2, 4)$ . Thus the running time of our algorithm is  $O(1.6708^n)$ .  $\square$

Two corollaries follow from the above result.

**Corollary 1.** *Both weighted and unweighted versions of SUBSET FEEDBACK VERTEX SET can be solved in  $O(1.6708^n)$  time on chordal graphs.*

PROOF. Observe that any subset feedback vertex set of minimum cardinality or minimum weight is a minimal subset feedback vertex set. Hence we can check the cardinality or weight of each generated minimal subset feedback vertex set, and compare the smallest one with the given bound  $k$  of the input.  $\square$

**Corollary 2.** *A chordal graph on  $n$  vertices has at most  $1.6708^n$  minimal subset feedback vertex sets.*

PROOF. Let  $G$  be a chordal graph on  $n$  vertices and let  $S$  be any set of vertices in  $G$ . From the correctness of the described algorithm it follows that  $\mu(G, S)$  is upper bounded by the number of leaves in the search tree corresponding to the algorithm. Let  $L(n)$  denote the number of leaves of the search tree for  $(G, S)$ . From the description of the algorithm we see that  $L(n)$  satisfies the following inequalities; we list them in the same order as the corresponding branching vectors in the proof of Theorem 2.

1.  $L(n) \leq 4 L(n-3)$ .
2.  $L(n) \leq 5 L(n-4) + L(n-2)$ .
3.  $L(n) \leq t L(n-t) + L(n-1)$ , where  $t \geq 5$ .
4.  $L(n) \leq L(n-2) + L(n-1)$ .
5.  $L(n) \leq t L(n-t)$ , where  $t \geq 2$ .
6.  $L(n) \leq (t+1) L(n-t)$ , where  $t \geq 3$ .

Furthermore, it can be checked that  $L(0) = 0$ ,  $L(1) = 1 < 1.6708$ ,  $L(2) = 1 < 1.6708^2$ ,  $L(3) \leq 3 < 1.6708^3$ ,  $L(4) \leq 6 < 1.6708^4$ , and  $L(5) \leq 10 < 1.6708^5$ . We will prove by induction that  $L(n) \leq 1.6708^n$ . Assume that this induction hypothesis is true on all chordal graphs with at most  $n-1$  vertices. We will show that this leads to  $L(n) \leq 1.6708^n$  for each of the inequalities above.

For inequality 1, we need to verify that  $4 \cdot 1.6708^{n-3} \leq 1.6708^n$ , which amounts to observing that  $4 \leq 1.6708^3 \approx 4.6642$ . For inequality 2, we need to verify that  $5 \cdot 1.6708^{n-4} + 1.6708^{n-2} \leq 1.6708^n$ , which amounts to observing that  $5 \leq 1.6708^4 - 1.6708^2 \approx 5.0013$ . For inequality 3, we need to verify that  $t \cdot 1.6708^{n-t} + 1.6708^{n-1} \leq 1.6708^n$ , which amounts to observing that  $t \leq 1.6708^t - 1.6708^{t-1}$ , for all  $t \geq 5$ . For inequality 4, we need to verify that  $1.6708^{n-2} + 1.6708^{n-1} \leq 1.6708^n$ , which amounts to observing that  $1 + 1.6708 = 2.6708 \leq 1.6708^2 \approx 2.7916$ . For inequality 6, we verify that  $t \cdot 1.6708^{n-t} \leq 1.6708^n$ , by observing that  $t \leq 1.6708^t$ , for all  $t \geq 2$ . Finally, for the last inequality, we verify that  $(t+1) \cdot 1.6708^{n-t} \leq 1.6708^n$ , by observing that  $t+1 \leq 1.6708^t$ , for all  $t \geq 3$ . Hence we can conclude for all cases that  $\mu(G, S) \leq L(n) \leq 1.6708^n$ .  $\square$

#### 4. Concluding remarks

As mentioned earlier, there are chordal graphs with  $10^{n/5} \approx 1.5848^n$  minimal subset feedback vertex sets. We have shown that the maximum number of minimal subset feedback vertex sets in chordal graphs is  $1.6708^n$ .

- Could it be that the lower bound is also an upper bound or are there chordal graphs with more than  $10^{n/5}$  minimal subset feedback vertex sets?
- Is there an algorithm for SUBSET FEEDBACK VERTEX SET on chordal graphs with running time  $O(c^n)$  such that  $c < 1.6707^n$ ?

The lower bound on the maximum number of minimal subset feedback vertex sets of a split graph is  $3^{n/3}$  [8], and it is obtained when the set  $S$  is equal to the independent set in the partition of the vertices into an independent set and a clique. Surprisingly, improving the upper bound that we gave in this paper even on split graphs seems to be a non-trivial task.

- Is there a better lower bound on split graphs?
- Is there a better upper bound on split graphs than on chordal graphs?
- Does SUBSET FEEDBACK VERTEX SET admit a faster algorithm on split graphs than on chordal graphs?

Finally, we conclude with the following question.

- Can all minimal subset feedback vertex sets in a graph be enumerated in output polynomial time, i.e., in time that is polynomial in the number of minimal subset feedback vertex sets?

Such an algorithm is known for enumerating minimal feedback vertex sets in general graphs [20]. It would be very interesting to have such an algorithm for subset feedback vertex sets, even on chordal graphs or split graphs.

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